KEVIN V. RYAN (CASBN 118321) 1 United States Attorney 2 3 5 6 7 8 UNITED STATES DISTRICT COURT 9 NORTHERN DISTRICT OF CALIFORNIA 10 SAN JOSE DIVISION 11 12 UNITED STATES OF AMERICA, 13 VIOLATIONS: 18 U.S.C. §§ 1832(a)(1), (a)(4) – Theft of Trade Secrets; Plaintiff. 14 v. 15 BRENT ALAN WOODARD. SAN JOSE VENUE 16 Defendant. 17 18 INDICTMENT 19 The Grand Jury charges: 20 BACKGROUND INFORMATION: At all times relevant to this Indictment: 21 1. Lightwave Microsystems Corporation ("Lightwave"), San Jose, California, was a 22 company engaged in the business of developing and producing optical integrated circuits for 23 communications networks. These items were included in Lightwave products that were sold and 24 25 shipped, and intended to be sold and shipped, in interstate and foreign commerce. JDS Uniphase 26 Corporation ("JDSU"), with offices in Canada and the United States, including in Bloomfield, 27 Connecticut, was a competitor of Lightwave in this field. 111 28 INDICTMENT

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1	2. Defendant BRENT ALAN WOODARD was employed by Lightwave as Director of
2	Information Technology.
3	COUNT ONE: (18 U.S.C. §§1832(a)(1) & (a)(4))
4	3. On or about and between a date unknown and December 16, 2002, in the Northern
5	District of California, the defendant
б	BRENT ALAN WOODARD,
7	with intent to convert a trade secret to the economic benefit of someone other than the owner thereof,
8	specifically, trade secrets including: the Metrology database containing Planar Lightwave-Circuit
9	procedures, process control methods, and results; the Manufacturing Execution System database
10	containing detailed instructions, execution tracking, and performance records; and the Enterprise
11	Resource Planning database, all contained within computer system backup tapes belonging to
12	Lightwave, found in defendant's residence at 3600 Richmond Ave., Oakland, California, which trade
13	secrets were related to and included in a product that was produced for and placed in interstate and
14	foreign commerce, did steal and without authorization appropriate, take, carry away, and conceal
15	such information, and attempt to do so, and intending and knowing that his act would injure
16	Lightwave:
17	All in violation of Title 18, United States Code, Sections 1832(a)(1) & (a)(4).
18	COUNT TWO: (18 U.S.C. §§1832(a)(2) & (a)(4))
19	4. On or about December 3, 2002, in the Northern District of California, the defendant
20	BRENT ALAN WOODARD,
21	with intent to convert a trade secret to the economic benefit of someone other than the owner thereof,
22	specifically, trade secrets including: the Metrology database containing Planar Lightwave-Circuit
23	procedures, process control methods, and results; the Manufacturing Execution System database
24	containing detailed instructions, execution tracking, and performance records; and the Enterprise
25	Resource Planning database, all contained within computer system backup tapes belonging to
26	Lightwave., found in defendant's residence at 3600 Richmond Ave., Oakland, California, which

trade secrets were related to and included in a product that was produced for and placed in interstate

and foreign commerce, without authorization did copy, duplicate, upload, replicate, deliver, send,

and convey such information, and attempt to do so, and intending and knowing that his act would 1 2 injure Lightwave. All in violation of Title 18, United States Code, Sections 1832(a)(2) & (a)(4). 3 COUNT THREE: (18 U.S.C. §1832(a)(3) & (a)(4)) 4 On or about and between a date unknown and December 16, 2002, in the Northern 5. 5 District of California, the defendant 6 7 BRENT ALAN WOODARD, with intent to convert a trade secret to the economic benefit of someone other than the owner thereof, 8 specifically, trade secrets including: the Metrology database containing Planar Lightwave-Circuit 9 procedures, process control methods, and results; the Manufacturing Execution System database 10 containing detailed instructions, execution tracking, and performance records; and the Enterprise 11 Resource Planning database, all contained within computer system backup tapes belonging to 12 Lightwave, found in defendant's residence at 3600 Richmond Ave., Oakland, California, which trade 13 secrets were related to and included in a product that was produced for and placed in interstate and 14 foreign commerce, without authorization did possess such information knowing it to have been 15 stolen, appropriated, obtained, and converted, and attempt to do so, and intending and knowing that 16 his act would injure Lightwave. 17 All in violation of Title 18, United States Code, Sections 1832(a)(3) & (a)(4). 18 19 20 DATED: May 14, 2003. A TRUE BILL 21 22 23 KEVIN V. RYAN 24 United States Attorney 25 26 ROSS W. NADEL Chief, San Jose Branch 27 28 (Approved as to form:

INDICTMENT